



Atty. Dkt. No. 038469-0210

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Engel et al.  
Title: CANNULA HAVING BUCKLE  
RESISTANT APERTURES  
Appl. No.: 10/619,932  
Filing Date: 07/15/2003  
Examiner:  
Art Unit:

<p><b>CERTIFICATE OF MAILING</b></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450, on the date below.</p> <p>Sandra Murphy (Printed Name)</p> <p><i>Sandra Murphy</i> (Signature)</p> <p>October 28, 2003 (Date of Deposit)</p>
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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document, except as noted below, is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Pre-OG Notice from Office of Patent Legal Administration dated July 25, 2003, *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.*

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

### **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

### **RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.



Atty. Dkt. No. 038469-0210

Respectfully submitted,

Date 10/28/03

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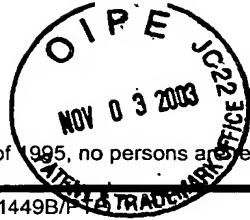
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Approved for use through 10/31/2002. OMB 0651-0031

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Date Submitted: October 28, 2003

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Sheet	1	of	1
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**Complete if Known**

<b>Application Number</b>	10/619,932
<b>Filing Date</b>	07/15/2003
<b>First Named Inventor</b>	Rebecca L. Engel
Group Art Unit	
Examiner Name	
<b>Attorney Docket Number</b>	038469-0210

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	6,447,484	B1	Briscoe et al.	9/10/2002	
	A2	6,264,645	B1	Jonkman	7/24/2001	
	A3	6,071,271		Baker et al.	6/6/2000	
	A4	5,984,908		Davis et al.	11/16/1999	
	A5	5,817,071		Dewindt et al.	10/6/1998	
	A6	5,782,811		Samson et al.	7/21/1998	
	A7	5,061,257		Martinez et al.	10/29/1991	
	A8	5,021,045		Buckberg et al.	6/4/1991	
	A9	4,985,014		Orejola	1/15/1991	
	A10	4,808,158		Kreuzer et al.	2/28/1989	
	A11	4,680,029		Ranford et al.	7/14/1987	
	A12	4,639,252		Kelly et al.	1/27/1987	
	A13	3,995,617		Watkins et al.	12/7/1976	

## FOREIGN PATENT DOCUMENTS

[illegible]

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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